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Foundations (as well as similar constructs)

Account/securities account number:

Contracting partner:

Category (where appropriate):

Pursuant to article 40 of the Agreement on the Swiss banks' code of conduct with regard to the exercise of due diligence (CDB 16), the undersigned hereby declare(s) that as board member of the foundation, or of the highest supervisory body of an underlying company of a foundation, known as:

and, in such capacity, provide(s) to the best of his/her/their knowledge the following information to the bank:

1. Name and information pertaining to the foundation (tick the two boxes applicable):

Type of foundation: Discretionary foundation or Non-discretionary foundation

and

Revocability: Revocable foundation or Irrevocable foundation

2. Information pertaining to the (ultimate economic, not fiduciary) founder (individual(s) or entity/-ies):

Last name(s), first name(s)/entity

Actual address of domicile/registered office

Country

Date(s) of birth Nationality Date of death (if deceased)

In case of a revocable foundation: does the founder have the right to revoke the foundation? Yes No

3. If the foundation results from the restructuring of a pre-existing foundation (re-settlement) or the merger of pre-existing foundations, the following information pertaining to the (actual) founder(s) of the pre-existing foundation(s) has to be given:

Last name(s), first name(s)/entity

Actual address of domicile/registered office

Country

Date(s) of birth Nationality Date of death (if deceased)

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4. Information

a) pertaining to the beneficiary/-ies at the time of the signing of this form:

Last name(s), first name(s)/entity

Actual address of domicile/registered office

Country

Date(s) of birth

Nationality

Has/have the beneficiary/-ies an actual right to claim a distribution? Yes No

b) and in addition to certain beneficiaries or if there is/are no defined beneficiary/-ies pertaining to (a) group(s) of beneficiaries (e.g. descendants of the founder) known at the time of the signing of this form:

5. Information pertaining to (a) further person(s) having the right to determine or nominate representatives (e.g. members of the foundation board), if these representatives may dispose over the assets or have the right to change the distribution of the assets or the nomination of beneficiaries:

Last name(s), first name(s)/entity

Actual address of domicile/registered office

Country

Date(s) of birth

Nationality

In case of a revocable foundation: is/are there (a) further person(s) with the right to revoke the foundation? Yes No

The contracting partner(s) hereby undertake(s) to automatically inform the bank of any changes to the information contained herein.

Date

Signature(s)

It is a criminal offence to deliberately provide false information on this form (article 251 of the Swiss Criminal Code, document forgery).