

S Foundations (as well as similar constructs)

Account/securities account number:

Contracting partner:

Category (where appropriate):

Pursuant to Article 40 of the Agreement on the Swiss banks' code of conduct with regard to the exercise of due diligence (CDB 20), the undersigned hereby declare(s) that he/she/they is a/are board member(s) of the foundation, or member(s) of the highest supervisory body of an underlying company of a foundation, known as:

In such capacity, he/she/they provide(s) to the best of his/her/their knowledge the following information to the bank:

1. Information pertaining to the foundation (for a) and b) please tick the applicable boxes):

a) Type of foundation:

Discretionary foundation or

Non-discretionary foundation

b) Revocability:

Revocable foundation or

Irrevocable foundation

2. Information pertaining to the (ultimate economic, not fiduciary) founder (individual[s] or entity/-ies):

First name(s), last name(s)/entity:

Actual address of domicile/registered office (incl. country):

Date of birth:

Nationality:

Date of death (if deceased):

In case of a revocable foundation: does the founder have the right to revoke the foundation?

Yes

No

3. If the foundation results from the restructuring of a pre-existing foundation (re-settlement) or the merger of pre-existing foundations, the following information pertaining to the (actual, not fiduciary) founder(s) of the pre-existing foundation(s) has to be given:

First name(s), last name(s)/entity:

Actual address of domicile/registered office (incl. country):

Date of birth:

Nationality:

Date of death (if deceased):

4. Information

a) pertaining to the beneficiary/-ies at the time of the signing of this form:

First name(s), last name(s)/entity:

Actual address of domicile/registered office (incl. country):

Date of birth:

Nationality:

Has/Have the beneficiary/-ies an actual right to claim a distribution?

Yes

No

b) and in addition to certain beneficiaries or if there is/are no defined beneficiary/-ies pertaining to (a) group(s) of beneficiaries (e.g. descendants of the founder) known at the time of the signing of this form:

5. Information pertaining to (a) further person(s) having the right to determine or nominate representatives (e.g. members of the foundation board), if these representatives may dispose over the assets or have the right to change the distribution of the assets or the nomination of beneficiaries:

First name(s), last name(s)/entity:

Actual address of domicile/registered office (incl. country):

Date of birth:

Nationality:

In case of a revocable foundation: is/are there (a) further person(s) with the right to revoke the foundation?

Yes

No

The contracting partner(s) hereby undertake(s) to automatically inform the bank of any changes.

Date:

Signature(s):

It is a criminal offence to deliberately provide false information on this form
(Article 251 of the Swiss Criminal Code, document forgery).